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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Attn: ISSUE BRANCH**
Hidenobu HAMADA : **Confirmation No. 2657**
Serial No. 09/883,949 ✓ : [Group Art Unit 2874
Filed June 20, 2001 : Examiner Jerry T. Rahll]
OPTICAL DEVICE

RESPONSE TO ALLOWANCE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Sir:

Applicant hereby requests that the Information Disclosure Statement (IDS) filed with the Patent Office on June 9, 2003 be completely considered by the Examiner. It appears that the Examiner unintentionally failed to initial next to the reference listed in the "Other Document(s)" section of the form PTO-1449. As a result, the Applicant also requests that the Examiner return a completely initialed copy of the PTO-1449 form which was filed as part of the IDS of June 9, 2003. Enclosed herewith are copies of the originally filed IDS, the partially initialed form PTO-1449, the reference in question, and the date-stamped postcard receipt for the Examiner's convenience.

Respectfully submitted,

Hidenobu HAMADA

By David M. Ovedovitz
David M. Ovedovitz
Registration No. 45,336
Attorney for Applicant

DMO/jmj
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
June 22, 2004

ATTY DOCKET #: 2001_0776A

Confirmation No. 2657

OUR REF: 2001_0776A/DRO/01413

Applicant: Hidenobu HAMADA

Serial No.: 09/883,949

Filing Date: June 20, 2001

Title: OPTICAL DEVICE

Due Date: N/A

Receipt of the following papers is acknowledged:

Information Disclosure Statement

PTO 1449 Form (with 8 references)



THE COMMISSIONER IS AUTHORIZED
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ACCOUNT NO. 23-0975

Date: June 9, 2003

Attorney: DRO/jlg

[Check No. _____]

BEST AVAILABLE COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Is re application of

: Confirmation No. 2657

Hiddenobu HAMADA

: Docket No. 2001_0776A

Serial No. 09/883,949

: Group Art Unit 2878

Filed June 20, 2001

: Examiner Kevin Pyo

OPTICAL DEVICE

THE COMMISSIONER IS AUTHORIZED
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ACCOUNT NO. 23-0975

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

COPY

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of ☒ the references listed on attached form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. .

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, or

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

a. ☒ a full or partial English language translation submitted herewith,

- b. ☐ a foreign patent office search report (in the English language) submitted herewith,
- c. ☐ the concise explanation contained in the specification of the present application at page,
- d. ☐ the concise explanation set forth in the attached English language abstract,
- e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ A Notice of Reasons for Rejection dated March 14, 2003 issued for a corresponding foreign application citing one or more of the references is enclosed.

Respectfully submitted,

Hideobu HAMADA

By David M. Ouedraogo Reg. No. 45,336
for Dhiren R. Ouedraogo
Registration No. 41,227
Attorney for Applicant

copy

DRO/jlg
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
June 9, 2003

Sheet 1 of 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: June 9, 2003

ATTY DOCKET NO.
2001_0776A

SERIAL NO.
09/883,949

APPLICANT
Hidenobu HAMADA

FILING DATE
June 20, 2001

GROUP
2878

OTPE
JUN 09 2003
PATENT & TRADEMARK OFFICE 860

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|--------------------|--------|--------------|-------|----------|-------------------------------|
| JTR | AA | 6,075,915 | 6/2000 | Koops et al. | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO | |
|-----|----|--------------------|---------|---------|-------|----------|-----------------------|--|
| JTR | AJ | 11-266055 | 9/1999 | JP | | | Partial | |
| JTR | AK | 10-261244 | 9/1998 | JP | | | Partial | |
| JTR | AL | 11-218627 | 8/1999 | JP | | | Partial | |
| JTR | AM | 2000-055941 | 2/2000 | JP | | | Abstract | |
| JTR | AN | 98/44367 | 10/1998 | WO | | | Abstract | |
| JTR | AO | 1 103 829 | 5/2001 | EP | | | | |

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|----|--|
| AP | Knight et al, "All-silica single-mode optical fiber with photonic crystal cladding", Optics Letters, Vol. 21, No. 19, pp.1547-1549 |
| AQ | |

EXAMINER *James T. Miller*

DATE CONSIDERED 2/4/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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